



Docket No.: SHO-0055

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Kazuo Okada

Application No.: 10/697,281

Filed: October 31, 2003

For: GAMING MACHINE

Confirmation No.: 8441

Art Unit: 3711

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Submitted herewith on Form PTO-1449 or PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR 1.56. Applicant respectfully requests that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO-1449 or PTO/SB/08 be returned in accordance with MPEP §609.

- A copy of each listed document is being submitted to comply with the provisions of 37 CFR §§1.97 and 1.98
 - excluding a copy of U.S. patent or U.S. patent application publication as waived by the U.S. Patent and Trademark Office, since this application was filed after June 30, 2003 or has entered the national stage under 35 U.S.C. § 371 after June 30, 2003 (1276 OG 55).

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

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1. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits in the present application. No fee is required (37 C.F.R. §1.97(b)). The Patent Office is NOT AUTHORIZED to charge deposit account 18-0013 under 37 CFR §§ 1.97(c)(2) and 1.17(p). However, ONLY IF a first Office Action on the merits was mailed before filing of this Information Disclosure Statement, please charge deposit account 18-0013 in the amount of \$180.00 for payment of the fee under 37 CFR §§ 1.97(c)(2) and 1.17(p).

- a. Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- 2. Please charge any fee deficiency or credit any overpayment to Deposit Account No. 18-0013 as needed to ensure consideration of the disclosed information.

Dated: April 28, 2005

Respectfully submitted.

Shawn B. Cage

Registration No.: 51,522 Attorney for Applicant

RADER, FISHMAN & GRAUER, PLLC

Lion Building 1233 20th Street, N.W., Suite 501 Washington, D.C. 20036 Tel: (202) 955-3750

Fax: (202) 955-3751 Customer No. 23353

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Substitute for form 1449A/B/PTO				Complete if Known		
				Application Number	10/697,281-Conf. #8441	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	October 31, 2003	
				First Named Inventor	Kazuo Okada	
				Art Unit	3711	
	(Use as many she	ets as	necessary)	Examiner Name	Not Yet Assigned	
heet	1	of	1	Attorney Docket Number	SHO-0055	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.¹	Document Number	Publication Date	Transc or Laterace or	Pages, Columns, Lines, Where	
		Number-Kind Code ² (if known)			Relevant Passages or Relevant Figures Appear	
	AA	US-6,174,234-B1	01-16-2001	Seibert, Jr. et al.		
	AB	US-6,174,233-B1	01-16-2001	Sunaga et al.		
	AC	US-6,517,433-B2	02-11-2003	WMS Gaming Inc.		

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	ВА	WO-03/039699	05-15-2003	Aristocrat Technologies Australia Pty Ltd.		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²		
	CA	European Search Report			

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